



Pensions & Investments

2016 (September)

4th Order 50K+
10th Order 0-20% ADV
7th Giant Cap
5th Large Cap
9th Small Cap

2016 (March)

1st Orders 10K or less
6th Orders 0-20% ADV
1st Large Cap

2016 (June)

6th Orders 10K or less
7th Orders 0-20% ADV
7th Giant Cap
8th Large Cap
9th Microcap

2015

10th All Trading – Global
Equity Execution
8th Orders 50K+
10th Orders 10K or less
1st Orders 50%+ ADV
9th Mid Cap
4th Small Cap
8th Microcap

2014

6th Overall Trading Quality
4th Orders 50K+
5th Orders 10K-50K
6th Orders 10K or less
7th Orders 50%+ ADV
9th Orders 20-50% ADV
7th Orders 50% +ADV
9th Small Cap
3rd Microcap

2013

8th Orders 10K-50K
4th Orders 10K or less

2012

3rd Overall Trading Quality
4th Orders 50K+
3rd Orders 10K-50K
7th Orders 10K or less
2nd Orders 0-20% ADV
6th Orders 20-50% ADV
3rd Giant Cap
5th Large Cap
7th Mid Cap

Institutional Investor

2014

15th Overall vs. Arrival
12th Overall vs. Interval VWAP

2012

6th Overall vs. Arrival
3rd vs. Arrival in Agency Only Universe

2011

7th Overall vs. Arrival
6th vs. Arrival Large Cap
10th for Orders 10K-50K
7th for Orders 10K-less

2010

10th vs. Arrival
15th vs. VWAP

2009

5th vs. Arrival NASDAQ
9th vs. Arrival NYSE

